



USI Foundation
Board
of
Directors

2010-11

Board Book

MISSION STATEMENT

To attract, acquire, and manage donors' charitable gifts to support and sustain the goals of the University of Southern Indiana.

1968 – 2011

USI Foundation Web site:
www.usi.edu/giving

Table of Contents

2010-11 Calendar of Events	3
2010-11 Executive Committee	4
USI History	6
2010-11 Board of Directors	8
2010-11 Advisory Council	18
Expectations of Directors	21
USI Foundation Bylaws	22
Statement of Values and Code of Ethics	31
Fraud Policy Statement	36
Fraud Report Form	39
Foundation Staff	40
USI Board of Trustees & University Officers	41

2010-11 CALENDAR

Foundation Board Meetings

Board Meeting

Thursday, September 16, 2010
11:45 a.m., Carter Hall

Board Meeting

Thursday, November 11, 2010
11:45 a.m., Evansville Country Club

Board Meeting

Thursday, February 10, 2011
11:45 a.m., Kennel Club

Annual Board Meeting

Thursday, May 19, 2011
11:45 a.m., Carter Hall

Foundation Events (by invitation only)

USI Foundation Board Orientation for new members

Thursday, September 16, 2010
8 a.m. – 11:30 a.m., USI Foundation Office

The President's Associates Dinner

Friday, October 22, 2010
6:30 p.m., University Center

Foundation Scholarship Donor Luncheon

Wednesday, November 3, 2010
11:45 a.m., Carter Hall

Presidential Scholarship Donor Luncheon

Wednesday, March 16, 2011
11:45 a.m., Carter Hall

***Reflections* Luncheon**

Wednesday, June 8, 2011
11:30 a.m., Evansville Country Club

University Board of Trustees Meetings

Thursday, July 8, 2010
Thursday, September 2, 2010
Thursday, November 4, 2010
Thursday, January 13, 2011
Thursday, March 3, 2011
May 2011 TBD

On Campus
On Campus
On Campus
Indianapolis
On Campus
TBD

2010-11 EXECUTIVE COMMITTEE

Chair	Kevin M. Eastridge
President	David A. Bower
Chair Elect	Bruce H. Baker
Vice Chair for Alumni	Kevin L. Hammett '90
Vice Chair for Development.....	David W. Herrenbruck '76
Vice Chair for Planning	Marie A. Bussing-Burks
Secretary	Vicki T. Campbell
Treasurer	Robert L. Goocher
Assistant Treasurer.....	Mark Rozewski
Administrative Advisor.....	Linda L. M. Bennett
Past Chair	Bix Branson
Nominating Committee Chair.....	Rolland M. Eckels
USI Annual Fund Campaign Chairs	Alumni – Kevin L. Hammett '90 Corporate – Chris D. Melton '72 Friends – Vicki T. Campbell

The officers of the Board, the past chair, and directors-at-large comprise the Executive Committee.

The USI Foundation...supporting the University for over 40 years

The University of Southern Indiana Foundation was organized in 1968 as the official gift-receiving agency for the University. As an Indiana not-for-profit corporation, its principal functions were established to promote, receive, invest, and disburse charitable gifts for the benefit of the University.

Its organizing committee included Mayor Frank F. McDonald Sr., Joseph E. O'Daniel, John E. McCutchan, E. Donald Elliott, Kenneth Jack Hahn, Richard E. Meier, and Evansville campus officers, David L. Rice, Byron C. Wright, and Donald D. Bennett. These organizers received strong community support from industrialists Robert L. Koch and D. Mead Johnson, Indiana State University President Alan Rankin, and West Side business leaders H. Byron Hubbard, William J. Moutoux, and L. Bernard Powers.

BOARD CHAIRS	
1969/1973	Joseph E. O'Daniel*
1973/1976	Albert A. Woll*
1976/1986	E. Donald Elliott*
1986/1987	R. Jack Brunton*
1987/1992	C. Wayne Worthington*
1992/1998	Ted C. Ziemer Jr.
1998/2000	Robert E. Griffin
2000/2002	John M. Dunn
2002/2003	Carolyn S. Georgette*
2003/2006	Ronald D. Romain '73
2006/2008	Thomas E. Topper*
2008/2010	Bix Branson
2010	Kevin M. Eastridge
* Deceased	

Over the next 17 years, major accomplishments of the Foundation's Board of Directors included establishing the Century Club as the first gift club, spearheading a fund drive to provide needed books for the Library, attracting the school's first scholarships, and raising capital dollars to purchase spectator seating in the basketball arena and to furnish the University Center, and in 1982, building and furnishing the finest home for a university president in the State of Indiana.

The USI Foundation's total assets have grown from \$609,594 in 1985 to over \$64 million in 2010, and scholarship assets from \$235,382 to \$33 million in 2010. Charitable gifts in support of the University, which in 1985 totaled \$500,000, reached \$5.1 million at June 30, 2010.

Since 1986, a variety of new programs have been established to support USI's mission. The USI Annual Fund raises unrestricted dollars to help meet the University's most pressing needs. Beginning in 2000-01, the Annual Fund has been conducted in three separate campaigns, with each of the Alumni, Corporate, and Friends Campaigns being responsible for meeting its own separate goal. In 2009-10, the USI Annual raised over \$259,000.

Donor recognition events place emphasis on thanking our donors who give to various programs with The President's Associates being established to provide the highest recognition of the University's most generous donors. Those who make annual gifts of at least \$1,000 or a one-time contribution of \$25,000 or more are honored each fall at The President's Associates Dinner and their names are placed on the donor wall in the University Center. Separate luncheons are hosted by the USI Foundation for Presidential Scholarship and Foundation Scholarship to provide donors with the opportunity to meet their students. Members of the planned giving society *Reflections* meet annually to hear about the University's future directions.

The prestigious Presidential Scholarship program was established in 1988 to attract qualified Indiana valedictorians and salutatorians to USI. To date, 233 Presidential Scholars have graduated. A total of 40 Presidential Scholars are enrolled for the 2010-11 academic year. In 1994, Nick and Jo Carter gave the University its first \$1 million gift, a benchmark which has helped us attract other leadership gifts. During 1993 and 1994, the Foundation spearheaded two fund drives, the first to construct the David and Betty Rice Plaza to honor USI's retiring president and first lady, and the second to refurbish and redecorate the University Home for Ray and Linda Hoops, the University's second "first family."

In 1995, the USI Foundation established *Reflections*, a planned giving society, to encourage and recognize donors who have established a deferred gift plan to benefit the University of Southern Indiana. The 195 charter members' names are starred on the *Reflections* Recognition Display in the University Center which was dedicated at the group's first annual luncheon in June 1999.

The Foundation, in cooperation with the University, conducted its first capital campaign from July 1, 1996 through December 31, 1998. *Campaign USI* exceeded its \$10.5 million goal with gifts and pledges of more than \$18 million, with an additional \$6 million committed in deferred gifts, as of December 31, 1998.

Final figures for *Campaign USI* became available when the campaign books were closed on June 30, 2003. (For comparative purposes, the Council for Advancement and Support of Education (CASE) has determined that no gift to a capital campaign may be counted after a maximum of seven years.) Total gifts to *Campaign USI* of \$17.7 million were received over the seven-year period from July 1, 1996 to June 30, 2003, with a remarkably low 1.6 percent, or \$290,840, in campaign pledges being written off. Deferred gifts of \$6.1 million were realized during the same period. *Campaign USI*'s enormous impact -- \$23.8 million - will benefit countless numbers of USI students and faculty. The real results? Education. Taken Higher.

In March 2002 the Lilly Endowment established two initiatives to help increase charitable giving among Indiana's colleges and universities. The USI Foundation provided leadership to *The Challenge for Academic Excellence* at USI to raise \$1 million in board gifts and \$3.5 million from alumni, parents and family members of students and alumni, and employees both past and present. Bringing in more than \$5 million in gifts, the successful challenges qualified for \$4 million in grants from the Lilly Endowment, which were placed in the newly-established permanent Endowment for Academic Excellence, the income from which will perpetually support USI academics where the need is greatest.

In 2003-04, the USI Foundation re-organized The President's Associates to also recognize donors who achieve significant lifetime charitable giving totals. The lifetime giving levels were named in honor of four businessmen - Jennings D. "Nick" Carter, Fred C. Newman, Joseph E. O'Daniel, and C. Wayne Worthington - who during their lifetimes made significant total gifts supporting USI as well as providing leadership benefiting both the University and the Foundation. The new lifetime giving donor recognition display, installed in the University Center in August 2004, was underwritten by Betty Worthington in honor her husband Wayne.

**USI FOUNDATION BOARD OF DIRECTORS
2010-11**

Preferred address and e-mail appear in bold

Mr. Randall K. Alsman (Cheryl)
911 Plaza Dr
Evansville, IN 47715-4411
Tel 812/303-2083
Director (2012)
Vice President Portfolio Manager
Donaldson Capital Management
20 NW First St, Fifth Floor
Evansville, IN 47708
Tel 812/421-3208 Fax 812/421-3214
ralzman@dcmol.com

Mr. Bruce H. Baker (Carol)
4388 Ashbury Parke Dr
Newburgh, IN 47630-8384
Tel 812/853-9155 Fax 812/853-9165
bbaker@usi.edu
Life Director
Owner/Treasurer
Newburgh Health Care & Residential Center
10466 Pollack Ave
Newburgh, IN 47630-9289
Tel 812/853-2931 Fax 812/858-3005

Mrs. Carol A. Baker (Bruce)
4388 Ashbury Parke Dr
Newburgh, IN 47630-8384
Tel 812/853-9155 Fax 812/853-9165
cabaker4@juno.com
Life Director

Dr. Joey V. Barnett '81
3929 Cross Creek Rd
Nashville, TN 37215-2428
Tel 615/385-4396 Mobile 615/300-9569
Director (2011)
Vice Chair/Director of Graduate Studies,
Professor Pharmacology
Vanderbilt University Medical Center
Preston Research Building Room 460
23rd and Pierce
2220 Pierce Ave
Nashville, TN 37232-6600
Tel 615/936-1722 Fax 615/343-6532
joey.barnett@vanderbilt.edu

Mr. H. Alvin Basham II '00 (Tiffany '99)
5016 Alysia St
Mount Vernon, IN 47620-8510
Tel 812/985-0665
tdmna1@yahoo.com
Director (2011)
Vice President, Trust Officer
German American Bank
Wealth Management
3150 Lynch Rd
Evansville, IN 47711-2936
Tel 812/402-2265 ext. 5506
alvin.basham@germanamerican.com

Dr. Linda L. M. Bennett (Stephen)
President
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712-3534
Tel 812/464-1756 Fax 812/464-1956
bennettl@usi.edu
Director (2011)
Administrative Advisor

Ms. Jean M. Blanton '01 (Jared '05)
Director (2013)
Partner & Trial Attorney
Ziemer, Stayman, Weitzel, & Shoulders LLP
20 NW First St, PO Box 916
Evansville, IN 47706-0916
Tel 812/424-7575 Fax 812/421-5089
jblanton@zsws.com

Mr. Ronald J. Boren '81 (Terry)
10115 Boren Ct
Evansville, IN 47712-9405
Tel 812/985-9299 Mobile 812/459-9998
Director (2012)
Chartered Wealth Advisor/Sr. Vice President
Hilliard Lyons Inc
110 Main St, PO Box 98
Evansville, IN 47701-0098
Tel 812/426-1481 Fax 812/428-8697
rboren@hilliard.com

Mr. David A. Bower (Mary McNamee Bower)
PO Box 992
Evansville, IN 47706-0992
Tel 812/476-1224 Mobile 812/455-6610
President

Director of University Development
President, USI Foundation
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712-3534
Tel 812/465-7039 Fax: 812/465-1229
bower@usi.edu

Mr. Bix Branson (Claudette '76)
3525 Koring Rd
Evansville, IN 47720-2614
Tel 812/963-5880 Fax 812/963-9447
Mobile 812/205-8664
bixbranson@gmail.com
Director (2012)

Sales and Revenue Development Manager
Evansville Otters Baseball
PO Box 3565
Evansville, IN 47734-3565
Tel 812/435-8686 x31 Fax 812/435-8688

Dr. Mark D. Browning (Jennifer)
819 Wiltshire Dr
Evansville, IN 47715-4271
Tel 812/473-3111
mdbrowning@aol.com
Director (2012)

MD – Oncology/Hematology
Oncology/Hematology Associates of
Southwest Indiana
3699 Epworth Rd
Newburgh, IN 47630
Tel 812/471-1200 Fax 812/475-6700

Mr. Timothy G. Bryan '90 M'07 (Julie '92)
10206 N Saint Joseph Ave
Evansville, IN 47720-7481
Tel 812/624-0116 Mobile 812/499-5737
tjars@hotmail.com
Director (2011)

Owner
Timothy Bryan CPA
PO Box 126
Inglefield, IN 47618-0126
Tel 812/499-5737

Mrs. Stephanie S. Buchanan '97 (Jason)
2949 Iris Ct
Evansville, IN 47720-2618
Tel 812/909-0101
Director (2010)

Account Executive/Owner
KDL Inc.
3701 Communications Way
Evansville, IN 47715-8929
Tel 812/456-1209 Fax 812/759-1813
stephanie.buchanan@windstream.com

Dr. Marie A. Bussing-Burks (Barry Burks)
625 Winterwood Dr
Evansville, IN 47715-4280
Tel 812/401-5434 Mobile 812/457-0168
mbussingbu@aol.com
Director (2013)

Owner
Business Books, LLC
2709 Washington Ave Ste 21A
Evansville, IN 47714-2450
Tel 812/471-7924 Fax 812/471-7924
mbburks@usi.edu

Mr. W. Harold Calloway (Frankye)
11241 Blue Grass Rd
Evansville, IN 47725-1291
Tel 812/867-0394
w_calloway@sbcglobal.net
Director (2010)
Member, USI Board of Trustees

Calloway State Farm Insurance Agency
1125 W Mill Rd
Evansville, IN 47710-3807
Tel 812/422-8144
harold.calloway.b2qh@statefarm.com

Mrs. Jina L. Campbell '01 M'03 (John)
1244 Oriole Cir
Mount Vernon, IN 47620-8625
Tel 812/838-2339
Director (2011)

Manager of Accounts Payable/Chief Accountant
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712
Tel 812/465-7090 Fax 812/464-1958
jcampbell10@usi.edu

Mrs. Vicki T. Campbell (David)
PO Box 752
New Harmony, IN 47631-0752
Tel 812/682-3649
vic@drctransportation.com
Director (2012)

Co-owner
DRC Transportation
606B Main St
New Harmony, IN 47631-0489
Tel 812/682-3169

Mr. Don R. Chaudoin (Deborah)
6115 Brighton Dr
Evansville, IN 47715-3483
Tel 812/473-1709 Mobile 812/455-1995
Director (2012)

Senior Vice President
Ohio Valley Financial Group
140 N. Main St., PO Box 5
Henderson, KY 42419-0005
Tel 270/831-1640
dchaudoin@ohiovalleyfg.com

Dr. Nadine A. Coudret
616 Drexel Dr
Evansville, IN 47712-9628
Tel 812/985-0555
Director (2011)

Dean, College of Nursing & Health Professions
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712
Tel 812/465-1617 Fax 812/465-7092
ncoudret@usi.edu

Mr. John N. Daniel Jr. (Susan)
3166 Summit Ct
Newburgh, IN 47630-8420
Tel 812/858-2587
jndaniel@wowway.com
Director (2011)

President & CEO
Fifth Third Bank
20 NW 3rd St, PO Box 778
Evansville, IN 47705-0778
Tel 812/456-3470 Fax 812/456-3496
john.daniel@53.com

Mrs. Joan F. David (George)
1109 Pretty Place Ln
Evansville, IN 47725-9541
Tel 812/867-3340 Fax 812/867-6149
Mobile 812/430-7070
jfdavid@insightbb.com
Director (2011)

Administrative Director
The New Harmony Project
jfdavid@newharmonyproject.org

Ms. Kelly L. Dillon '92
3666 E State Road 68
Haubstadt, IN 47639-7866
Tel 812/768-5796
Mobile 812/779-8268
Director (2011)

Manager, Public Affairs & Corporate Compliance
Toyota Motor Manufacturing, Indiana, Inc.
400 Tulip Tree, PO Box 4000
Princeton, In 47670-4000
Tel 812/387-2128 Fax 812/387-2001
kelly.dillon@tema.toyota.com

Dr. John M. Dunn (Gail)
10445 Old Plantation Dr
Evansville, IN 47725-7136
Tel 812/867-1111 Fax 812/867-1112
Life Director

Chairman & CEO
Dunn Hospitality Group Manager Inc
300 SE Riverside Dr, Ste 100
Evansville, IN 47713
Tel 812/471-9300 Fax 812/759-0234
jmdunn@dunnhospitality.com

Mr. Kevin M. Eastridge (Karen)
525 Audubon Dr
Evansville, IN 47715-3605
Tel 812/401-2603 Mobile 812/568-0060
Director (2011)
Chair

President
F.C. Tucker Emge Realtors
4841 E Virginia St
Evansville, IN 47715-2628
Tel 812/473-0123 Fax 812/473-6683
kevineastridge@fctuckeremge.com

Dr. Rolland M. Eckels (Phyllis)
1405 E Park Dr
Evansville, IN 47714-2730
Tel 812/423-6613
rmeckels@aol.com
Life Director

Retired Director of Public Affairs
Mead Johnson & Co

Mrs. Bettie G. Engelbrecht
1512 Regents Park Rd
Evansville, IN 47710-4502
Tel 812/428-7751
Life Director

Retired Public Service Director
South Central Communications

Mr. Daniel M. Fuquay (Janet)
8321 Schmuck Rd
Evansville, IN 47712-9359
Tel 812/985-5489 Mobile **812/449-8882**
danfuq@msn.com
Director (2011)

President/Owner
D & F Distributors Inc
800 Canal St
Evansville, IN 47713-2514
Tel 812/867-2441 Fax 812/867-6822
dfuquay@dfdistrib.com

Mr. Robert L. Goocher (Jan)
6755 River Ridge Dr
Newburgh, IN 47630-9739
Tel 812/853-5559
rgoocher@adelphia.net
Director (2012)
Treasurer

Treasurer & Vice President, Investor Relations
Vectren Corp.
One Vectren Square
Evansville, IN 47708
Tel **812/491-4080** Fax 812/491-4346
rgoocher@vectren.com

Dr. Robert E. Griffin (Judith)
517 W Water St
Newburgh, IN 47630-1153
Tel 812/490-3570
Life Director

Chairman
Escalade, Inc
PO Box 613
Evansville, IN 47704-0613
Tel **812/467-1244** Fax 812/467-1300
regrif@msn.com

Mrs. Doris J. Halwes (Elmer)
4011 Fairfax Rd
Evansville, IN 47710-3718
Tel 812/423-5115 Fax 812/423-5115
Mobile 812/455-8638
djhal@insightbb.com
Life Director

Mr. Kevin L. Hammett '90 (Michelle '90)
301 Beringer Dr
Evansville, IN 47711-6325
Mobile 812/480-5930
Director (2011)
Vice Chair for Alumni
President, USI Alumni Association
CFO, Vice President of Accounting & Finance
Regency Commercial Associates LLC
330 Cross Pointe Blvd
Evansville, IN 47715-4027
Tel 812/467-7600 ext 317 Fax 812/424-9242
khammett@regency-prop.com

Mr. David W. Herrenbruck '76 (Jill)
2731 Bailey Ln
Evansville, IN 47725-8235
Tel 812/626-2244 Mobile 812/453-3590
dherrenbruck@insightbb.com
Director (2013)
Vice Chair for Development
VP, Private Bank
Fifth Third Bank
20 NW 3rd St, MD-KT0034, PO Box 719
Evansville, IN 47705-0719
Tel 812/456-4014 Fax 812/456-3551
david.herrenbruck@53.com

Dr. H. Ray Hoops (Linda)
100 NW 1st St #505
Evansville, IN 47708
Tel 812/402-7702
Director Emeritus

President Emeritus
University of Southern Indiana
hoops@usi.edu

Mr. J. Andrew Hubbard M '98 (Francie)
8040 Carolwood Dr
Evansville, IN 47715-4571
Tel 812/477-1328
Director (2012)

President
Evansville Sheet Metal Works Inc
1901 W Maryland St
Evansville, IN 47712-5339
Tel 812/423-7871 Fax 812/423-7353
andrew@esmw.com

Mrs. Marilyn F. Johnson (Alan)
809 Cobblestone Dr
Evansville, IN 47715
Tel 812/475-1976 Mobile 812/457-4741
chiles111@yahoo.com
Director (2013)

Registered Nurse
Interior Designer
Volunteer
ahjohnson5374@sbcglobal.net

Mrs. Susan M. Knight '94 (Kirk '93)
504 Wyndclyff Dr
Evansville, IN 47711-2790
Tel 812/491-6222
susanm.knight@insightbb.com
Director (2011)

Vice President
Quality Automotive Distributors Corp
509 N 9th Ave, PO Box 6291
Evansville, IN 47719-6291
Tel 812/426-1404 Fax 812/426-7382

Mrs. Nancy S. Koehler '93 (David)
Director (2012)

Mrs. Jeanette C. Maier-Lytle '88 M'95 (Gary)
12233 Knob Hills Rd
Lynnville, IN 47619-8094
Tel 812/922-3891
Director (2011)

Accounting Instructor
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712
Tel 812/464-1915
jcmaier@usi.edu

Mrs. Rachel L. Mayes '97 (Maurice)
11621 Blue Grass Rd
Evansville, IN 47725-7017
Tel 812/867-7164 Fax 812/867-7165
Mobile 812/480-7857
Director (2011)

Plant Controller, Rigid Packaging Division
Alcoa Warrick Operations
PO Box 10
Newburgh, IN 47629-0010
Tel 812/853-4456 Fax 812/853-1024
rachel.mayes@alcoa.com

Dr. Frank F. McDonald II '73 (Nancy '06)
Life Director

Mr. James R. McKinney (Valerie M'05)
350 Hesmer Rd
Evansville, IN 47711-2736
Tel 812/422-0569 Mobile 812/455-2510
Life Director

President/CEO
Regency Commercial Associates LLC
330 Cross Pointe Blvd
Evansville, IN 47715-4027
Tel 812/467-7600 ext. 323 Fax 812/424-9242
jmckinney@regency-prop.com

Mr. Chris D. Melton '72 (Diana '71)
1085 Country Club Dr
Henderson, KY 42420-9762
Tel 270/830-7285 Mobile 812/630-1095
Director (2012)

President
Ohio Valley Financial Group
140 N. Main St
PO Box 5
Henderson, KY 42419-0005
Tel 270/831-1521 Fax 270/831-1580
cmelton@ohiovalleyfg.com

Dr. Trudy E. Mitchell
8120 River Park Way
Evansville, IN 47715
Tel 812/303-1775
trudymitchell@insightbb.com
Director Emerita

Dr. Ira T. Neal (Jacqueline)
329 Holly Hill Dr
Evansville, IN 47710-4959
Tel 812/437-9944
driratneal@yahoo.com
Director (2013)

Mr. Mark E. Neidig '76 (Brenda)
549 W Mount Pleasant Rd
Evansville, IN 47711-1029
Tel 812/437-4733
mneidig@aol.com
Director (2011)

Sr. Vice President, Chief Technology Officer
Old National Bank
1 Main St, PO Box 718
Evansville, IN 47705-0718
Tel 812/468-1074 Fax 812/468-1041
mark.neidig@oldnational.com

Mr. Kent L. Parker (Laurie)
826 S Main St, PO Box 814
New Harmony, IN 47631-0814
Tel 812/682-3086 Fax 812/682-3162
Director (2011)

Chief Operating Officer
Ariba Inc
210 Sixth Ave
Pittsburgh, PA 15222
Tel 412/297-8990 Fax 412/222-4906
kparker@ariba.com

Mrs. Susan E. Parsons (Robert)
7224 Woodford Ct
Evansville, IN 47715-4443
Tel 812/473-1476 Mobile 812/204-3154
Director (2013)

Treasurer/Secretary/CFO
Koch Enterprises Inc
14 S 11th Ave
Evansville, IN 47744-0001
Tel 812/465-9623 Fax 812/465-9613
sparsons@kochenterprises.com

Mr. Jack D. Pate (Debbie)
5333 Whitetail Ridge Ct
Boonville, IN 47601-8420
Tel 812/925-3086
jpate8@wildblue.com
Director (2011)

President & Publisher
The Evansville Courier & Press Co
300 E Walnut St, PO Box 268
Evansville, IN 47713
Tel 812/461-0770 Fax 812/461-0771
patej@courierpress.com

Lauren K. Raikes '11
8140B O'Daniel Ln
Evansville, IN 47712
Tel 317-750-9897
lkraikes1@mail.usi.edu
Director (2010)
Member, USI Board of Trustees

Dr. David L. Rice (Betty)
335 W Church St, PO Box 400
New Harmony, IN 47631
Tel 812/682-4727 Mobile 812/598-8519
jalee547@aol.com
Director Emeritus

President Emeritus
University of Southern Indiana
Neef-Lesueur House
404 Church St, PO Box 400
New Harmony, IN 47631-0400
Tel 812/682-4550 Fax 812/682-3850

Dr. Ronald S. Rochon (Lynn)
824 Mahrenholz Ave
Evansville, IN 47712-3505
Tel 812/303-6264
Director (2011)

Provost & Vice President for Academic Affairs
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712
Tel 812/465-1617
rochon@usi.edu

Mr. Robert C. Roeder '71 (Mary)
7632 Normandy Blvd
Indianapolis, IN 46278-1552
Tel 317/875-5308 Mobile 317/319-6394
Director (2011)

**Consultant/Principal
Mercer**
111 Monument Cir, Ste 4300
Indianapolis, IN 46204-5143
Tel 317/261-9404 Fax 317/261-9450
bob.roeder@merc.com

Mr. Ronald D. Romain '73 (Connie '74)
10500 Wilmington Dr
Evansville, IN 47725-9023
Tel 812/867-6000
Life Director
Member, USI Board of Trustees

CEO
United Companies
3700 E Morgan Ave
Evansville, IN 47715-2240
Tel 812/474-4369 Fax 812/474-4359
rromain@unitedevv.com

Mr. Mark Rozewski (Janet)
2027 Short Dr
Evansville, IN 47725-8034
Tel 812/626-0729
Director (2011)
Assistant Treasurer

Vice President for Business Affairs
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712-3534
Tel 812/464-1849 Fax 812/464-1956
mrozewski@usi.edu

Mrs. Sharon K. Ruder (Thomas)
PO Box 2482
Evansville, IN 47728-0482
Tel 812/479-5551
Director (2013)
Secretary/Treasurer
Thomas A. & Sharon K. Ruder Foundation
PO Box 2482
Evansville, IN 47728-0482
ruderfoundation@aol.com

Dr. James A. Sanders
1311 Timberlake Ln
Evansville, IN 47710-4130
Tel 812/467-0053 Fax 812/467-0053
sanders1840@aol.com
Director (2012)

Director Emeritus, Corporate and
Foundation Relations
University of Southern Indiana

Mr. Jim Sandgren (Rebecca)
9655 Arlington Ct
Newburgh, IN 47630-2476
Tel 812/842-2179
Director (2013)

Southern Region CEO
Evansville Region President
Old National Bank
1 Main St
Evansville, IN 47708
Tel 812/464-1447 Fax 812/465-0623
jim.sandgren@oldnational.com

Mr. Jack B. Schriber (Suzanne)
2355 Trail Dr
Evansville, IN 47711-4015
Tel 812/476-6713 Mobile 812/453-7023
jschriber@wowway.com
Director (2013)

Dr. Victor V. Schriever Jr.
6219 E Walnut St
Evansville, IN 47715-7432
Tel 812/477-9802 Mobile 812/499-5991
vvs60@aol.com
Director (2012)

Retired Quality Assurance Manager
Evansville Brewing Company

Mr. Alan N. Shovers (Susan)
1159 Harrelton Ct
Evansville, IN 47714-0703
Tel 812/477-6722 Mobile 812/483-2136
Life Director

Attorney & Partner
Kahn Dees Donovan and Kahn LLP
501 Main St, Ste 305, PO Box 3646
Evansville, IN 47735-3646
Tel 812/423-3183 Fax 812/423-3841
ashovers@kddk.com

Mrs. Sherrienne M. Standley (Barry)
117 Woodward Dr
Evansville, IN 47712-7301
Tel 812/985-7696 Mobile 812/455-3180
Life Director

Vice President Emerita for Advancement
University of Southern Indiana
smstandl@usi.edu

Mrs. Judith M. Steenberg (Laurence)
1066 Hunter Blvd
Boonville, IN 47601-8710
Tel 812/925-7911 Fax 812/925-7918
jsteen@evansville.net

Director (2013)

Travel Consultant
Ambassador Travel
401 N Green River Rd
Evansville, IN 47715
Tel 812/479-8687

Mr. Ronald W. Steinhart (Lucy)
5414 Stonewood Dr S
Mt. Vernon, IN 47620-9688
812/985-7402
Director (2013)

Principal
Hafer Associates PC
21 SE 3rd St, Ste 800
Evansville, IN 47708-1419
Tel 812/422-4187

rosteinhart@haferassociates.com

Mr. Robert W. Swan '72 (Roxanne)
4338 Surrey Way
Evansville, IN 47725-7462
Tel 812/867-6950
rswan CPA@gmail.com
Life Director

Retired Senior Partner
Kemper CPA Group LLP

Dr. Wendy G. Turner-Frey (Leonard Frey)
3427 Church Rd
Evansville, IN 47720-2301
Tel 812/963-1174
wenturner71@hotmail.com

Director (2013)

Associate Professor of Social Work
University of Southern Indiana
8600 University Blvd
Evansville, IN 47712-3534
Tel 812/465-1201 Fax 812/465-1116
wturner@usi.edu

Mr. Michael T. Vea (Capra)
10641 Coach Light Dr
Evansville, IN 47725
Mobile 812/204-6453
mikevea@gmail.com
Director (2012)

Ms. Sharon Reed Walker
4534 Brighton Ct
Newburgh, IN 47630-8798
Tel 812/490-5956 Fax 888/553-5485
Mobile 812/499-4042
syrwalk12@yahoo.com
Director (2011)

Retired Director
Public Policy & Government Affairs
Bristol-Myers Squibb

Mr. Robert W. Wallace '74 (Julia)
199 Annandale Dr
Fairfield, OH 45014-5226
Tel 513/860-9767 Mobile 513/708-7989
usi2daybob@yahoo.com
Director (2012)

Assistant Vice President
The Cincinnati Insurance Companies
6200 S Gilmore Rd, PO Box 145496
Fairfield, OH 45250-5496
Tel 513/870-2145 Fax 513/870-2088
bob_wallace@cinfin.com

Mr. Eric R. Williams '89 (Jude)

18001 Old State Rd

Evansville, IN 47725-9471

Tel 812/867-7311

ericwilliams127@gmail.com

Director (2012)

**Vanderburgh County Sheriff
Vanderburgh County Sheriff's Office**

3500 N Harlan Ave

Evansville, IN 47711

Tel 812/421-6203

Fax 812/421-6389

ewilliams@vanderburghsheriff.com

Mrs. Donita F. Wolf '83 (Donald)

4800 Hartmann Rd

Mount Vernon, IN 47620-7718

Mobile 812/204-9255

donita.wolf@gmail.com

Director (2012)

Realtor

ERA First Advantage Realty Inc.

2221 W. Franklin

Evansville, IN 47712

Tel 812/491-3721 Fax 812/491-3777

Mr. Robert C. Woosley II '92 (Elizabeth)

11630 Blue Grass Rd

Evansville, IN 47725-7017

Director (2013)

Woosley Financial Inc

2231 W Franklin St

Evansville, IN 47712

Tel 812/867-8551

r.woos@insightbb.com

Dr. Byron C. Wright (Joanne)

13044 Overview Dr

Fishers, IN 46037-6226

Tel 812/589-1702

bwright@usi.edu

Director Emeritus

Vice President Emeritus for Business Affairs
and Treasurer Emeritus

University of Southern Indiana

Dr. Ted C. Ziemer Jr. (Clare)

7109 Taylor Ave

Evansville, IN 47715-5261

Tel 812/476-5960 Mobile 812/205-8218

tedziemerjr@gmail.com

Life Director

Attorney & Partner

Ziemer Stayman Weitzel & Shoulders LLP

20 NW First St, PO Box 916

Evansville, IN 47706-0916

Tel 812/424-7575 Fax 812/421-5089

tziemer@zsws.com

Advisory Council

Advisory Council members provide guidance to the Board of Directors. They are notified of Board meetings, and are welcome to attend. They also are invited to Board social functions. To qualify for membership on the Advisory Council, a nominee must have served on the Board of Directors for at least one full three-year term. Members are elected to the Advisory Council by a vote of the Directors. The members of the Advisory Council are:

Dr. Margaret H. Blair (Lori)
83080 Overseas Hwy
Islamorada, FL 33036-3524
Tel 305/664-4585 Fax 305/664-5442
drmhb@aol.com

Interim President
Marketing Accountability Foundation (MASB)
Tel 305/664-4423
megblair@theMASB.org

Mr. John J. Bolger '80 (Carol)
9434 Whispering Sands Dr
West Olive, MI 49460-9516
Tel 616/846-9834 Fax 616/846-9834
Mobile 616/723-1205
jochenvetter@aol.com

Retired Managing Director
Citigroup Corp.

Dr. Edward L. Brundick (Holley)
4211 Fairfax Ct
Evansville, IN 47710-3785
Mobile 941/806-7185
edward.brundick@insightbb.com

Retired orthopaedic surgeon
Orthopaedic Associates

Mr. Steven E. Chancellor (Terri)
Chief Executive Officer
The American Patriot Group LLC
PO Box 5669
Evansville, IN 47716-5669
Tel 812/473-0700 Fax 812/425-2339

Dr. H. Lee Cooper III (Ann)
539 Pfeiffer Rd
Evansville, IN 47711-3151
Tel 812/425-6623 Fax 812/425-6623
leecooper001@comcast.net

Retired Chairman of the Board
Fifth Third Bank

Mr. Barry E. Cox (Kay)
3550 Buchanan Rd
Mount Vernon, IN 47620-8938
Tel 812/838-9740
Mobile 812/483-4319
kaylcox@aol.com

President and COO
Warehouse Services Inc
PO Box 608
Mount Vernon, IN 47620-0608
Tel 812/831-4053 Fax 812/831-4102
barrycox@wsi-ismi.com

Dr. Susan R. Enlow
10314 Barrington Pl
Newburgh, IN 47630-8748
Tel 812/858-1546 Fax 812/853-3620
higra35@aol.com

Ms. Nancy Hartley Gaunt
7001 Red Wing Dr
Evansville, IN 47715-5251
Tel 812/473-3167 Mobile 812/455-2092
nancy.gaunt@gmail.com

Dr. James J. Giancola
PO Box 3953
Incline Village, NV 89450
triplejg@gmail.com

President, CEO & Director
Midwest Bank Holdings Inc
501 W North Ave
Melrose Park, IL 60160
Tel 708/865-0385
jgiancola@midwestbank.com

Mr. David E. Gunn '73 (Ann)
1451 Audubon Dr
Evansville, IN 47715-5525
Tel 812/477-8976
dgunncpa@aol.com

Retired Partner
Harding Shymanski and Company

Mr. Michael H. Head '80 (Susan)
3009 Oak Hill Rd
Evansville, IN 47711-3667
Tel 812/479-3969

President & CEO
First Federal Savings Bank
PO Box 1111
Evansville, IN 47706-1111
Tel 812/421-4100 ext 242
mhead@fbei.net

Mr. J. David Huber (Sarah)
8308 Berry Dr
Evansville, IN 47710-4987
Tel 812/868-8739
dandshuber@insightbb.com
Retired Attorney

Mr. Fred J. Kent
6724 Newburgh Rd
Evansville, IN 47715-4468
Tel 812/477-2849
Mobile 812/459-6052
Consultant
Kenny Kent Toyota/Lexus

Mr. James H. Muehlbauer (Mary Kay)
2300 E Gum St
Evansville, IN 47714-2338
Tel 812/477-8495 Fax 812/479-9470
Mobile 812/480-8845
Executive Vice President
Koch Enterprises Inc.
14 S 11th Ave
Evansville, IN 47744-0001
Tel **812/962-5260** Fax 812/962-5313
jmuehlbauer@kochair.com

Mr. D. Patrick O'Daniel (Rosemary)
15 Johnson Place
Evansville, IN 47714-1605
Tel 812/477-1346 Fax 812/477-1717
Mobile 812/453-1062
dpatricodaniel1346@msn.com

Retired
D-Patrick Inc
PO Box 5186
Evansville, IN 47716-5186
Tel 812/473-6500 Fax 812/473-6541

Mrs. Carole D. Rust '77 (Robert)
1632 Hawthorne Dr
Mount Vernon, IN 47620-9303
Tel 812/838-5357 Fax 812/838-1565
carolerust@aol.com
Self-Employed Writer

Mr. Kenneth L. Sendelweck '76 (Janet)
1337 Cobblestone Rd.
Jasper, IN 47546-8050
Tel 812/482-1254 Mobile 812/630-5906
jksendelweck@psci.net

President, Private Banking & Wealth
Management
German American Bancorp Inc
711 Main St
Jasper, IN 47547-0810
Tel 812/482-0704 Fax 812/482-0745
ken.sendelweck@germanamerican.com

Mr. Marvin L. Smith (Joan)
5366 Sleepy Hollow Rd
Newburgh, IN 47630-2054
Tel 812/853-3575
Mobile 812/455-5654
mlscaa@wowway.com
Retired General Agent
Northwestern Mutual Life

Mr. John Stuff Staser (Marcia)
1675 W Hillsdale Rd
Evansville, IN 47710-5021
Tel 812/867-5217
j.staser@insightbb.com
Director (2010)
Attorney
Bamberger, Foreman, Oswald & Hahn
20 NW 4th St, PO Box 657
Evansville, IN 47704-1724
Tel 812/452-3549 Fax 812/421-4936
jstaser@bamberger.com

Hon. Wayne S. Trockman '81 (Jill)
823 Greengate Ct
Evansville, IN 47715-7193
Tel 812/401-8398

Chief Judge
Vanderburgh County Superior Court
1 NW Martin Luther King Jr Blvd
Evansville, IN 47708-1818
Tel 812/435-5405
wtrockman@vanderburghgov.org

Mr. Albert J. Umbach Jr. (Susan)
6100 N Saint Joseph Rd
Evansville, IN 47720-2134
Tel 812/963-6331

CPA CFP
Union American Equity Corp
Umbach Mortgage Corp
122 N Saint Joseph Ave
Evansville, IN 47712
Tel 812/962-5626
aubach@umbach-mortgage.com

Mr. James L. Will Sr. (Eileen)
9030 Solarbron Ct
Evansville, IN 47712-5437
Tel 812/985-3334
oldjw@wowway.com

Retired President
James L. Will Insurance Agency

**EXPECTATIONS OF DIRECTORS
SERVING ON THE USI FOUNDATION BOARD**

1. A director should have a keen interest in education and should believe in the value of publicly-supported higher education.
2. A director should maintain an awareness of the issues affecting public higher education, and be willing to be an advocate for public higher education and for the University of Southern Indiana.
3. A director should make a commitment to attend the meetings of the board, and in advance of meetings to become familiar with materials related to discussions which will be on the agenda.
4. A director should be willing to spend time on specific working committees or to assist with special projects.
5. Because the Foundation is engaged in fund raising, a director should be willing to make an annual gift to the Foundation. Although many directors give at generous levels, the amount is not as important as the commitment to the concept of charitable giving by all directors.
6. A director should be willing to identify sources of support, both financial and otherwise, for projects of the University and the Foundation, and should be willing to ask others for their financial support and influence. Information about donors and donations is confidential and should be treated with discretion.
7. A director should be willing to assume positions of leadership on the board and in committee work from time to time.
8. A director should be willing to assist in identifying and recruiting other directors who have the ability to meet all Expectations of Directors Serving on the USI Foundation Board.

*Adopted by the USI Foundation Board of Directors
May 25, 1999*

Code of Bylaws
UNIVERSITY OF SOUTHERN INDIANA
FOUNDATION

Amended May 19, 2011

ARTICLE I
Corporation

Section 1.01. Name. The name of the Corporation is the University of Southern Indiana Foundation. (Hereinafter referred to as "Corporation" or "Foundation" or "USI Foundation.")

Section 1.02. Place of Business. The principal office of this Foundation shall be in the County of Vanderburgh, State of Indiana. The Foundation may also have offices at such other places as the Board of Directors may, from time to time, appoint or the purposes of the Foundation may require.

Section 1.03. Purposes. The purposes for which the USI Foundation is organized are:

- (a) To provide support for the University of Southern Indiana, its faculty and students.
- (b) To promote educational, scientific, charitable, and related activities and programs exclusively for the benefit of the University of Southern Indiana.
- (c) To collect and receive contributions and to disburse such funds for the purposes set forth hereinabove.
- (d) To qualify as not-for-profit corporation (i) exempt from federal income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or the corresponding provisions of any future United States Internal Revenue Law, and as an organization deemed to be a public charity pursuant to Section 509(a)(1) of the Internal Revenue Code of 1986, as amended (or the corresponding provision of any future United States Internal Revenue Law); and (ii) any foundation contributions to which are deductible under Sections 170(c)(2), 642(c), 2055(a)(2), 2522(a)(2), or 2522(b)(2) of the Internal Revenue Code of 1986, as amended (or the corresponding provision of any future United States Internal Revenue Law.)

ARTICLE II
Members

Section 2.01. Composition of Membership. The membership of this Foundation shall consist of those individuals who, from time to time, shall have been duly elected and qualified as voting members of the Board of Directors of this Foundation. Membership status shall be co-extensive with a member's term as Director and shall immediately expire at such time as such member shall cease to be a Director of the Foundation, for any reason.

Section 2.02. Rights of Member. The members of this Foundation shall have all rights and authorities granted to members of Indiana not-for-profit corporations, as provided by law, and as may hereinafter exist under Indiana law.

Section 2.03. Voting Rights. All voting rights given to members of Indiana not-for-profit corporations shall be vested in the members without limitation.

Section 2.04. Concurrent Meetings. All meetings of the Board of Directors of the Foundation shall be deemed to be meetings of the members of the Foundation, unless specifically designated otherwise. Whenever in these Bylaws the term "Director" or "Board of Directors" is referred to, it shall also mean and refer to "members" of the Foundation.

ARTICLE III Meetings

Section 3.01. Regular Meetings. The Board of Directors shall hold regular meetings and shall meet not less than two (2) times each year in addition to the Annual Meeting. Notice of regular meetings shall be given at least ten (10) days in advance.

Section 3.02. Annual Meetings. The Annual Meeting of the Board shall be held in May of each year, immediately following the annual meeting of the membership, at the place where such meeting of members was held and for the purpose of organization, election of Directors and Officers of the Foundation, and consideration of any other business which may be brought before the meeting. Notice of the time and place of the Annual Meeting shall be provided to each member not less than ten (10) days before such Annual Meeting. Directors may waive notice of the Annual Meeting at any time in writing.

Section 3.03. Special Meetings. Special meetings may be called by the Chair of the Board of Directors or upon the written request of five (5) members of the Board of Directors. Notice of special meetings of the Board of Directors stating the time, place, and purposes thereof shall be provided to each member of the Board of Directors not less than ten (10) days before such meeting, but any such Director may waive notice of any special meeting at any time, in writing, and attendance by any directors at any meeting of the Board of Directors shall constitute a waiver of notice.

Section 3.04. Quorum. At any meeting of the Board of Directors of the Foundation, one-third (1/3) of the Directors shall be necessary to constitute a quorum except as otherwise may be provided by law and the act of a majority of the Directors present at a meeting at which there is a quorum shall be the act of the full Board of Directors except as may be otherwise specifically provided by statute or by the Bylaws.

Section 3.05. Voting. At every meeting of the Board of Directors, each Director shall be entitled to one (1) vote. Upon any matter requiring a vote of the Board of Directors and upon demand of any Director, the vote upon any question shall be by ballot. All voting shall be had and all questions decided by a majority vote of the persons present provided there is a quorum. Conference telephone and/or electronic voting may be conducted for committee meetings. Voting by proxy shall not be allowed.

ARTICLE IV
Board of Directors

Section 4.01. Number of the Board of Directors. The number of Board of Directors shall be no more than sixty (60), in addition to Life Directors and Directors Emeriti. Directors shall serve without compensation.

Section 4.02. Composition of the Board of Directors.

- (a) Four (4) of the voting members of the Board of Directors shall be deemed elected by virtue of their office, the President of the University, the Vice President for Finance and Administration the Provost, and one additional vice president of the University of Southern Indiana and shall serve a one (1) year term.
- (b) Two (2) of the voting members of the Board of Directors shall be elected, one from the deans of the academic colleges and one from the faculty. Such Directors shall be deemed elected upon appointment by the President of the University of Southern Indiana and shall serve a one (1) year term.
- (c) Three (3) of the voting members of the Board of Directors shall be elected from the membership of the University of Southern Indiana Alumni Council, which is the governing board of the USI Alumni Association. Such Directors shall be deemed elected by virtue of their offices, the President, the President Elect, and the Immediate Past President, and shall serve a one (1) year term.
- (d) One (1) of the voting members of the Board of Directors shall be deemed elected by virtue of his/her office, the Chair of the Historic New Harmony Advisory Board, or designee, and shall serve a one (1) year term.
- (e) Three (3) Directors shall be elected from the membership of the Board of Trustees of the University of Southern Indiana. Such Directors shall be deemed elected upon appointment by the Chair of the Board of Trustees of the University of Southern Indiana and shall serve a one (1) year term.
- (f) The remaining Directors shall be elected for terms of three (3) years, or until their successors are elected. All three (3) year Directors shall be divided into three (3) annual classes of approximate equal class size, and the terms of the members of one such annual class shall expire on June 30th of each year. The Directors are eligible for re-election as recommended by the Nominating Committee.
- (g) Terms of office of all Directors shall begin on July 1st, following election.

Section 4.03. Vacancy. Whenever any vacancy shall occur among the Directors by reason of death, resignation, or otherwise, the same shall be filled at any meeting by the Board of Directors. Any person so elected shall serve for the remainder of the unexpired term.

Section 4.04. Powers. The Board of Directors shall manage and govern the business and affairs of the USI Foundation, and in connection therewith, the Board may exercise all of the powers granted the USI Foundation under its Articles of Incorporation.

Section 4.05. Life Directors. The Board of Directors may designate and elect, at any meeting of the Board of Directors, any person as a Life Director of the Board of Directors of this Foundation whom the Directors deem worthy of such membership by reason of such person's past service to this Foundation or the University of Southern Indiana. Life Directors shall be entitled to attend all meetings of the Foundation and shall have the full and equal power and right to vote on all matters of business at such meetings may serve as a member of any committee of the Board, but may not hold office.

Section 4.06. Directors Emeriti. A Director Emeritus or Director Emerita is that person deemed worthy of such designation by reason of their distinguished service to the USI Foundation or the University of Southern Indiana, and shall be designated and elected Director Emeritus or Director Emerita by the Board of Directors. A Director Emeritus or Director Emerita shall be entitled to attend all meetings of the Board, may serve as a member of any committee of the Board, but may not vote or hold office.

Section 4.07. Advisory Council. Advisory Council members provide guidance to the Board of Directors. They are notified of Board meetings, and are welcome to attend. They also are invited to Board social functions. To qualify for membership on the Advisory Council, a nominee must have served on the Board of Directors for a least one full three-year term. Members are elected to the Advisory Council by a vote of the Directors. Advisory Council members may not vote or hold office, but may serve in advisory roles on the fund development committees.

ARTICLE V Officers

Section 5.01. Number and Qualifications. The Officers of the USI Foundation shall be Chair, Chair Elect, Vice Chair for Planning, Vice Chair for Development, Vice Chair for Alumni, Secretary, Treasurer, Assistant Treasurer, Administrative Advisors and President.

The offices of Chair, Chair Elect, Vice Chair for Planning, Vice Chair for Development, Secretary, and Treasurer shall be chosen from among the Directors of the Foundation. The President of the Alumni Council shall serve as Vice Chair for Alumni. The President of the University of Southern Indiana and a vice president shall serve as Administrative Advisors. The Vice President for Finance and Administration shall serve as Assistant Treasurer. The Director of Development shall serve as President.

Additional assistant Officers may be appointed by the Board, which shall define their powers and duties.

Section 5.02. Election and Term. The Officers shall be elected at the Annual Meeting of the Board of Directors for a single term of two (2) years or until their successors shall have been elected and qualified. . An Officer may serve no more than two (2) consecutive terms in any one office. Former Officers may be re-elected to the office after sitting out at least one term. The offices of Chair, Chair Elect and Secretary shall be elected in the even-numbered years, and

the Vice Chair for Development, Vice Chair for Planning, and Treasurer shall be elected in the odd-numbered years. Officers shall assume office on July 1 following their election.

Section 5.03. Vacancy. Whenever any vacancy shall occur in any of the offices of the Foundation Board by reason of death, resignation, or otherwise, the same shall be filled by the Board of Directors, and any Officer so elected shall hold office until the next Annual Meeting of the Board of Directors, and until his/her successor shall be duly elected and qualified.

Section 5.04. Duties. The duties of the Officers of the Foundation shall include:

- (a) Chair. The Chair shall be the Chief Executive Officer of the Foundation, shall preside at all meetings of the Board of Directors, and shall have such other authority and duties as the Board of Directors may provide.
- (b) Chair Elect. The Chair Elect shall have such duties and authority as the Board of Directors may provide. The Chair Elect shall assume the duties of the Chair in the absence of the Chair.
- (c) Vice Chair for Planning. The Vice Chair for Planning shall be responsible for directing the Foundation's long-range planning, and shall have such other duties and authority as the Board of Directors may provide.
- (d) Vice Chair for Development. The Vice Chair for Development shall be responsible for coordinating the Foundation's fund-raising activities, and shall have such other duties and authority as the Board of Directors may provide.
- (e) Vice Chair for Alumni. The Vice Chair for Alumni shall be responsible for serving as the chief liaison between the Board and the Alumni Association.
- (f) Secretary. The Secretary shall be responsible for recording and preserving the minutes of all meetings of the Board.
- (g) Treasurer. The Treasurer shall be responsible for supervising the fiscal affairs of the Foundation, shall make periodic reports to the Board, shall chair the Finance Committee, and shall have such other duties and authority as the Board of Directors may provide.
- (h) Assistant Treasurer. The Assistant Treasurer shall perform the duties of the Treasurer at the request or in the absence of the Treasurer.
- (i) Administrative Advisor. The Administrative Advisors shall serve in an advisory capacity by virtue of their offices of the University of Southern Indiana.
- (j) President. The President shall be the Chief Operating Officer of the Foundation.

ARTICLE VI Committees

Section 6.01. Executive Committee. There shall be an Executive Committee composed of the Officers, Immediate Past Chair, Nominating Committee Chair, Audit Committee Chair, Annual Fund Alumni Campaign Chair, Annual Fund Corporate Campaign Chair, and Annual Fund Friends Campaign Chair appointed by the Chair of the Board of Directors, which shall have the powers of the Board of Directors between meetings. Any action of the Executive Committee shall be reported to the Board at its next meeting.

Section 6.02. Standing Committees.

- (a) Nominating Committee. There shall be a Nominating Committee composed of five (5) Directors appointed by the Chair of the Board of Directors, whose function shall include preparing slates of candidates for the various elective positions of the Foundation.
- (b) Finance Committee. There shall be a Finance Committee composed of the Treasurer, the Assistant Treasurer, and at least four (4) other Directors appointed by the Chair of the Board of Directors, whose function shall include advising the Treasurer and the Board in regard to investments and general fiscal policy of the Foundation. Directors' appointments to the Finance Committee shall be made in such a manner that their terms are staggered, with each serving a three (3) year term.
- (c) Audit Committee. There shall be an Audit Committee composed of at least (3) Directors appointed by the Chair of the Board of Directors, whose function shall include assisting the Board in its oversight of the integrity of the Foundation's financial statements and the Foundation's compliance with legal and regulatory requirements, including Conflicts of Interest disclosures of the Board of Directors. It shall also have direct responsibility for the appointment and dismissal, compensation, and oversight of qualifications, independence, and performance of the Foundation's independent auditor and internal auditor.

Section 6.03. Special Committees. There may be any number of special committees representing activities of the Foundation. They shall be created or dissolved as the need indicates by the Chair of the Board of Directors, which shall designate their functions and term. Chairs of Special Committees shall be appointed by the Chair of the Board of Directors, and shall be responsible for reporting the plans and progress of the committees to the Board.

ARTICLE VII Fiscal Policies

Section 7.01. Fiscal Year. The fiscal year of the Foundation shall begin on the 1st day of July of each year and end upon the 30th day of June of the next year.

Section 7.02. Contracts and Instruments Generally. Contracts and other instruments (not including routine instruments) to be executed by the Foundation shall be signed, unless otherwise required by law, by either the Chair of the Board of Directors, Chair Elect or a Vice Chair, in conjunction with the Secretary. The Board Chair, or the President in the Chair's absence, or the Assistant Treasurer, or the Foundation Fiscal Officer in the Assistant Treasurer's absence, signing alone, is authorized and empowered to execute in the name of this Foundation all routine instruments arising in the day-to-day operations of the business of this Foundation. The Executive Committee may authorize any other officer of the Foundation to sign any contract or

other instrument.

Section 7.03. Notes, Checks and the Like. All notes, drafts, acceptances, checks, endorsements, and all evidences of indebtedness of the Foundation shall be signed by such person or persons and in such manner as the Board of Directors may from time to time determine.

Section 7.04. Deposits. All funds of the Foundation shall be deposited to the credit of the Foundation in such banks, trust companies, or other depositories as the Finance Committee may select. These financial institutions shall be properly insured by government bonding agencies.

Section 7.05. Management of Funds. The Foundation adopts and incorporates by reference I.C. Section 30-2-12 et seq., The Uniform Management of Institutional Funds of Colleges and Universities, as it may be amended from time to time, including the Uniform Prudent Management of Institutional Funds Act.

ARTICLE VIII Liability and Indemnification

Section 8.01. Liability of Directors and Officers. No person shall be liable to the Foundation for any loss or damage suffered by it on account of any action taken or omitted to be taken by him as a Director or Officer of the Foundation in good faith, if such person:

- (a) Exercised and used the same degree of care and skill which an ordinarily prudent person in a like position would exercise under similar circumstances; or
- (b) Took or failed to take any action in reliance upon advice of counsel for the Foundation or upon statements made or information furnished by Officers or Foundation staff which he had reasonable grounds to believe to be true.

The foregoing shall not be exclusive of other rights and defenses to which he may be entitled as a matter of law.

Section 8.02. Indemnity of Officers and Directors. The Foundation shall indemnify any individual made a party to any proceeding, including any threatened, pending or completed action, suit or proceeding; whether civil, criminal, administrative or investigative, and whether formal or informal; and any appeal therein, by reason of the fact that he, his testator or intestate, is or was a Director or Officer of the Foundation, or of any Foundation which he served as such at the request of the Foundation, against liability incurred in any such proceeding and against the reasonable expenses, including attorney's fees actually and reasonably incurred by him in connection with such proceeding, or in connection with any appeal therein, if the conduct of such Director or Officer was in good faith and such individual reasonably believed: (a) in the case of conduct in the individual's official capacity with the Foundation, that the individual's conduct was in the Foundation's best interest; and (b) in all other cases, that the individual's conduct was at least not opposed to the Foundation's best interests; and (c) in the case of any criminal proceeding, that the individual either had reasonable cause to believe the individual's conduct was lawful or had no reasonable cause to believe the individual's conduct was unlawful. The Foundation also shall indemnify any such Director or Officer for the reasonable costs of settlement of any such proceeding, if the same standards for indemnification as set forth above are satisfied.

Section 8.03. Indemnification before final disposition. The Foundation may pay for or reimburse the reasonable expenses incurred by a Director or Officer who is a party to a proceeding in advance of final disposition of the proceeding if:

- (a) The Director or Officer furnishes the Foundation a written affirmation of the Director's or Officer's good faith belief that such Director or Officer has met the standard of conduct described in Section 8.02 of these Bylaws;
- (b) The Director or Officer furnishes the Foundation a written undertaking, executed personally or on the Director's or Officer's behalf, to repay the advance if it is ultimately determined that the Director or Officer did not meet such standard of conduct; and
- (c) A determination is made that the facts then known to those making the determination would not preclude indemnification under Section 8.02 of these Bylaws.

Section 8.04. Determination of Right to Indemnification. The Foundation may not indemnify a Director or Officer under Section 8.02 or Section 8.03 of these Bylaws unless authorized in specific case after a determination has been made that indemnification of the Director or Officer is permissible in the circumstances because the Director or Officer has met the standard of conduct set forth in Section 8.02 or Section 8.03 of these Bylaws. Such determination shall be made by any one of the following procedures:

- (a) By the Board of Directors by majority vote of a quorum consisting of Directors not at the time parties to the proceeding;
- (b) If a quorum cannot be obtained under subparagraph (a) hereinabove, by majority vote of a committee duly designated by the Board of Directors (in which designation Directors who are parties may participate), consisting solely of two (2) or more Directors not at the time parties to the proceeding;
- (c) By special legal counsel either selected by the Board of Directors or its committee in the manner prescribed in subparagraphs (a) or (b), with, or, if a quorum of the Board of Directors cannot be obtained under subparagraph (a) and a committee cannot be

designated under subparagraph (b), special legal counsel shall be selected by majority vote of the full Board of Directors (in which selection Directors who are parties may participate.)

Section 8.05. Authorization of Indemnification and Evaluation of Expenses. Authorization of indemnification and evaluation as to reasonableness of expenses shall be made in the same manner as the determination that indemnification is permissible, except that if the determination is made by special legal counsel, authorization of indemnification and evaluation as to reasonableness of expenses shall be made by those entitled under Section 8.04 to select counsel.

ARTICLE IX Conflicts of Interest

Section 9.01. Conflicts of Interest. Any duality of interest or possible conflicts of interest on the part of any member of the Board of Directors or Officers of the Foundation shall be fully disclosed to the Audit Committee of the Board and made a matter of record, through an annual procedure.

Section 9.02. Definition. Conflicts of interest arise when an Officer or Director of the Foundation knowingly or intentionally has a pecuniary interest in or derives a profit from any Foundation or University of Southern Indiana contract or purchase. Such an interest includes not

only the Officer or Director, but his/her spouse and/or dependent.

Section 9.03. Administration. The Board of Directors shall adopt conflicts of interest policy and disclosure statement, which shall be administered by the Audit Committee of the Foundation, and compliance shall be a condition of membership on the Board of Directors or as an Officer of the Foundation.

Section 9.04. Abstention from Voting. Any member of the Board of Directors having a conflict of interest or a possible conflict of interest on any matter shall not vote or use their personal influence on the matter. Where such occasion arises, the minutes of the meeting shall reflect that a disclosure was made and the Director abstained from voting.

Section 9.05. New Board Members Advisement of Policy. All new members of the Board shall be advised of this policy upon entering on the duties of his/her office.

ARTICLE X Miscellaneous

Section 10.01. Corporate Seal. The seal of the Foundation shall be circular in form and mounted upon a metal die, suitable for impressing the same upon paper. About the upper periphery of the seal shall appear the words "University of Southern Indiana Foundation" and about the lower periphery thereof, the word "Indiana." In the center of the seal shall appear the words "Corporate Seal."

Section 10.02. Amendment to Bylaws. Subject to law and the Amended Articles of Incorporation, the power to make, alter, amend, or repeal all or any part of this Code of Bylaws is vested in the Board of Directors. The affirmative vote of a majority of all the members of the Board of Directors shall be necessary to effect any such material change in this Code of Bylaws. No amendment of material changes to the Bylaws shall be acted upon by the Board of Directors, unless the exact text of such amendment shall have been provided to each member of the Board of Directors at least five (5) days prior to the meeting at which such amendment is to be acted upon. Changes not considered material such as grammatical changes, document formatting changes, title changes, and other changes relating to the presentation of the Code of Bylaws do not require a vote of the Board, but will be reported to the Board at its next meeting.

Section 10.03. Parliamentary Procedure. Robert's Rules of Order Newly Revised shall be the parliamentary authority for all matters of procedure not specifically covered by the Bylaws or by specific rules of procedure adopted by the USI Foundation.

STATEMENT OF VALUES AND CODE OF ETHICS UNIVERSITY OF SOUTHERN INDIANA FOUNDATION¹

Introduction

As a matter of fundamental principle, the University of Southern Indiana Foundation as part of the nonprofit and philanthropic community adheres to the highest ethical standards because it is the right thing to do. As a matter of pragmatic self-interest, the USI Foundation does so because public trust in our performance is the bedrock of our legitimacy. Donors and volunteers support the USI Foundation because they trust us to carry out our mission, to be a good steward of their resources, and to uphold rigorous standards of conduct.

The USI Foundation must earn this trust every day and in every possible way. But the USI Foundation is, at base, people, and it is up to the Board Members, as well as Foundation and University leaders, staff, and volunteers to demonstrate their ongoing commitment to the core values of integrity, honesty, fairness, openness, respect, kindness, and responsibility.

Adherence to the law is the minimum standard of expected behavior. The USI Foundation has chosen to voluntarily comply rather than simply obeying the law. We must embrace the spirit of the law, often going beyond legal requirements. Transparency, openness, and responsiveness to public concerns is integral to the USI Foundation.

Statement of Values

Any code of ethics is built on a foundation of widely shared values. The values of the USI Foundation include:

- Commitment to the public good;
- Accountability to the public;
- Commitment beyond the law;
- Respect for the worth and dignity of individuals;
- Inclusiveness and social justice;
- Respect for pluralism and diversity
- Transparency, integrity, kindness, and honesty;
- Responsible stewardship of resources; and,
- Commitment to excellence and to maintaining the public trust.

These values lead directly to the Code of Ethics for the USI Foundation that follows. The values inform and guide the actions that the USI Foundation takes in developing its policies and informing its practices.

Code of Ethics

I. Personal and Professional Integrity

¹ Much of the spirit and language of this document is taken from the INDEPENDENT SECTOR and from the Obedience to the Unenforceable, first published by INDEPENDENT SECTOR in 1991 and re-released in 2002. Other helpful sources include the CASE Statement of Ethics, the Code of Ethics for Museums by the American Association of Museums, the Code of Ethics of the Charles Stewart Mott Foundation, the Code of Ethics of the United Way of America, and the work of the Institute for Global Ethics. For a comprehensive listing of codes and standards for nonprofit and philanthropic organizations, see the INDEPENDENT SECTOR website at <http://www.IndependentSector.org/issues/accountability/standards.html>.

The USI Foundation has a special duty to exemplify the best qualities of the University of Southern Indiana and to observe the highest standards of personal and professional conduct. The USI Foundation promotes a working environment that values kindness, integrity, fairness, and respect without regard to race, color, sex, sexual orientation, marital status, creed, ethnic or national identity, handicap or age. All members of the University's Development staff, Foundation Accounting staff, Foundation Board members, and volunteers of the USI Foundation will:

- Act with kindness, honesty, integrity, and openness in all their dealings as representatives of the USI Foundation;
- Not maintain any vested interest in a professionally related activity that could result in personal gain without prior full disclosure and approval;
- Uphold the professional reputation of other colleagues and give credit for ideas, words, or images originated by others; and
- Observe these standards and others that apply to their professions and actively encourage colleagues to join them in supporting the highest standards of conduct.

II. Mission

To attract, acquire, and manage donors' charitable gifts to support and sustain the goals of the University of Southern Indiana.

The above mission statement was approved on May 18, 2000 by the USI Foundation Board of Directors, in pursuit of the public good. All of the USI Foundation's programs support this mission and all who work on behalf of the USI Foundation are encouraged to be loyal to this mission and purpose.

III. Governance

The USI Foundation Board of Directors is responsible for supporting the mission and strategic direction of the USI Foundation. This includes oversight of the finances, operations, and policies of the USI Foundation. The Board of Directors will:

- Ensure that its members have the requisite skills and experience to carry out their duties and that all members understand and fulfill their governance duties acting for the benefit of the USI Foundation and the University of Southern Indiana;
- Have a conflict of interest policy that ensures that any conflicts of interest or the appearance thereof are avoided or appropriately managed through disclosure, recusal, or other means;
- Ensure that the President of the USI Foundation and appropriate personnel provide the Board of Directors with timely and comprehensive information so that the Board of Directors can effectively carry out its duties;
- Ensure that the USI Foundation conducts all transactions and dealings with integrity and honesty;
- Ensure that the USI Foundation promotes working relationships with Board members, volunteers, and program beneficiaries based on mutual respect, fairness, and openness;
- Ensure that policies of the USI Foundation are in writing, clearly articulated, and officially adopted;
- Ensure that the resources of the USI Foundation are responsibly and prudently managed; and,
- Ensure that the USI Foundation has the capacity to carry out its programs effectively.

IV. Legal Compliance

The USI Foundation, its staff, Board members, and volunteers are knowledgeable of and comply with all laws, regulations, and applicable international conventions.

V. Responsible Stewardship

The USI Foundation, its staff, Board members, and volunteers manage its funds responsibly and prudently. This includes the following considerations:

- It spends a reasonable percentage of its annual budget on programs in pursuance of its mission;
- It spends an adequate amount on administrative expenses to ensure effective accounting systems, internal controls, competent staff, and other expenditures critical to professional management;
- It has reasonable fundraising costs, recognizing the variety of factors that affect fundraising costs;
- It prudently draws from endowment funds consistent with donor intent and to support the public purpose of the USI Foundation;
- It ensures that all spending practices and policies are fair, reasonable, and appropriate to fulfill the mission of the USI Foundation; and,
- It ensures that all financial reports are factually accurate and complete in all material respects.

VI. Openness and Disclosure

The USI Foundation, its staff, Board members, and volunteers provide comprehensive and timely information to the public, the media, and all stakeholders and is responsive in a timely manner to requests for information. All information about the USI Foundation will fully and honestly reflect the policies and practices of the USI Foundation. Basic informational data about the USI Foundation, such as the Form 990, reviews and compilations, and audited financial statements are readily available to the public. All solicitation materials accurately represent the USI Foundation's policies and practices and will reflect the dignity of program beneficiaries. All financial, organizational, and program reports will be complete and accurate in all material respects.

VII. Program Evaluation

The USI Foundation, its staff, Board members, and volunteers regularly review program effectiveness and have mechanisms to incorporate lessons learned into future programs. The USI Foundation is committed to improving program and organizational effectiveness and develops mechanisms to promote learning from its activities and the field. The USI Foundation is responsive to changes in all areas of fund development and is responsive to the needs of its donors.

VIII. Inclusiveness and Diversity

The USI Foundation, its staff, Board members, and volunteers have a policy of promoting inclusiveness, and reflect diversity in order to enrich its programmatic effectiveness. The USI Foundation takes meaningful steps to promote inclusiveness in its board recruitment and constituencies served.

IX. Fundraising

The USI Foundation, its staff, Board members, and volunteers are truthful in solicitation materials. The USI Foundation respects the privacy concerns of individual donors and expends funds consistent with donor intent. The USI Foundation discloses important and relevant information to potential donors.

In raising funds from the public, the USI Foundation respects the following rights of donors:

- To be informed of the mission of the USI Foundation, the way the resources will be used and their capacity to use donations effectively for their intended purposes;
- To be informed of the identity of those serving on the USI Foundation Board of Directors and to expect the Board to exercise prudent judgment in its stewardship responsibilities;
- To have access to the USI Foundation's most recent financial reports;
- To be assured their gifts will be used for the purposes for which they were given;
- To receive appropriate acknowledgement and recognition;
- To be assured that information about their donations is handled with respect and with confidentiality to the extent provided by the law;
- To expect that all relationships with individuals representing the organizations of interest to the donor will be professional in nature;
- To be informed whether those seeking donations are volunteers or employees of the USI Development office;
- To have the opportunity for their names to be deleted from mailing lists; and,
- To feel free to ask questions when making a donation and to receive prompt, truthful and forthright answers.

FRAUD POLICY STATEMENT UNIVERSITY OF SOUTHERN INDIANA FOUNDATION

Premise

The University of Southern Indiana Foundation is committed to the highest standards of moral, legal, and ethical behavior. These standards are outlined in the *Code of Ethics* found in the USI Foundation Board Book on the USI Web site at www.usi.edu/onlinegiving. All members of the USI Foundation, its staff, Board members, and volunteers have a responsibility for the stewardship of the University and Foundation's resources. Internal controls and operating procedures are intended to protect assets and interests by detecting or preventing improper activities. However, there are no absolute safeguards against willful violations of laws, regulations, policies or procedures.

Policy Statement

The USI Foundation will investigate possible fraudulent or dishonest use or misuse of University or Foundation resources or property by the USI Foundation staff, Board members, and volunteers. Anyone found to have committed fraud relevant to University assets is subject to disciplinary action, up to and including termination or expulsion, and investigation by external criminal justice authorities when warranted.

The USI Foundation Board of Directors is responsible for the administration, interpretation, and application of this policy.

Definitions

Fraud, Fraudulent Act, or Misconduct: A deliberate act (or failure to act) with the intention of obtaining an unauthorized benefit, either for oneself or for the institution, by using deception or false suggestions or suppression of truth or other unethical means, which are believed and relied upon by others. Depriving another person or the institution of a benefit to which he/she/it is entitled by using any of the means described above also constitutes fraud. Examples of fraudulent acts include, but are not limited to, the following:

- Embezzlement
- Forgery or alteration of documents
- Unauthorized alteration or manipulation of computer files
- Fraudulent financial reporting
- Misappropriation or misuse of University or Foundation resources (e.g., funds, supplies, equipment, facilities, services, inventory, or other assets)
- Authorization or receipt of payment for goods not received or services not performed
- Authorization or receipt of unearned wages or benefits
- Conflict of interest, ethics violations

NOTE: The USI Foundation will not rely on a determination by a criminal justice authority to criminally prosecute as the basis for determining if an act is fraudulent. The presence of the elements named in the definition will determine if the act is fraudulent for internal disciplinary purposes.

Whistleblower: A person or entity making a protected disclosure is commonly referred to as a whistleblower. Whistleblowers may be staff, Board members, volunteers, students, vendors, contractors, or the general public. The whistleblower reports suspected fraudulent behavior. The whistleblower does not investigate the facts or determine the corrective or remedial action that may be warranted. The USI Foundation is responsible for maintaining a system of internal controls which prevent, detect, or deter fraudulent or dishonest conduct. Each member of the Development staff is expected to recognize risks and exposures inherent within his or her area of responsibility and to be alert for any indication of irregularity. Development staff should contact the Audit Committee as soon as fraud is detected or suspected. Staff members who know or suspect that other staff members are engaged in a fraudulent act have a responsibility to report such activity to their supervisor or appropriate administrator. However, in the interest of confidentiality or if the staff member is uncomfortable reporting to his supervisor or administrator, the staff member may notify the Audit Committee directly by one of the methods described under *Reporting a Fraud*. Any staff member who is aware of a fraud and does not immediately report it will be subject to disciplinary actions. Staff members who report suspected fraudulent activity will be protected from reprisal or retaliatory action as stated in the *Whistleblower Protection* provisions. Whistleblowers should not confront the individual under suspicion or initiate investigations on their own. Such action may compromise any ensuing investigation and violate the individual's constitutional rights.

IMPORTANT: All claims of fraudulent activities must be made in good faith. Baseless allegations, made with disregard for truth or accuracy, or frivolous complaints will not be tolerated. People making such allegations may be subject to institutional disciplinary action and/or legal actions by the individuals accused of fraudulent conduct. The Audit Committee will coordinate the investigation and resolution of reported fraudulent activities with appropriate USI departments (e.g., Security, Human Resources, Risk Management and Safety) and external law enforcement officials/agencies as appropriate and in accordance with the following guidelines:

- All investigations will be conducted in the strictest confidence.
- If an investigation reveals theft/fraud, charges will be filed with the appropriate criminal justice authority.
- Restitution, including costs associated with the investigation, may be required from the accused.
- Human Resources will determine the extent to which disciplinary action, in accordance with personnel policies and procedures, will be imposed.

Reporting a Fraud

Any person may report allegations of suspected improper activities by one of the following methods:

1. Report directly to one's supervisor or appropriate administrator, either orally or in writing. The supervisor or administrator must contact the Audit Committee as soon as a suspected fraud is reported.
2. File a written report addressed to Chair of Audit Committee, Attn: Mark E. Neidig, Old National Bank, 1 Main St, PO Box 718, Evansville, IN 47705-0718.
3. File a written report via e-mail to mark.neidig@oldnational.com

The identity of the individual conveying information will remain confidential to the extent possible within the legitimate needs of the law and the investigation. A whistleblower may remain anonymous. Because investigators are unable to interview anonymous whistleblowers, it may be difficult to evaluate the credibility of the allegations. Therefore, it is critical that anonymous whistleblowers provide detailed information and sufficient corroborating evidence to justify their claims that a fraudulent act has occurred. Unspecified or broad allegations of wrongdoing cannot be investigated.

Whistleblower Protection

The USI Foundation cannot guarantee confidentiality, but generally, the whistleblower's identity will not be disclosed unless (1) the person agrees to be identified; or (2) identification is required by legal proceedings. For having made a report of fraud or misconduct, the whistleblower may not be dismissed from employment or expelled from school; have salary increases or employment related benefits withheld; be transferred or reassigned; be denied a promotion or grade the employee or student otherwise would have received; or be demoted, penalized, or threatened in any way. Whistleblowers who believe they have been retaliated against may file a written complaint with the Chair of the Audit Committee. A proven complaint of retaliation shall result in disciplinary action, up to and including dismissal, against the retaliating person. USI Foundation staff members have whistleblower protection under Indiana Code 5-11-5.5-8. Nothing in this policy shall be construed in such a way as to conflict with the provisions and protection of the Indiana Code, which can be reviewed online at <http://www.in.gov/legislative/ic/code/title5/ar11/ch5.5.html>.

Date: _____

**UNIVERSITY OF SOUTHERN INDIANA FOUNDATION
FRAUD REPORT FORM**

Which of the following classifications best represents the alleged fraud?

- | | |
|--|--|
| <input type="checkbox"/> Embezzlement, misuse of funds or assets | <input type="checkbox"/> Kickbacks, bribes, extortion |
| <input type="checkbox"/> Mismanagement, waste | <input type="checkbox"/> False statements, certifications, etc |
| <input type="checkbox"/> Environmental violations | <input type="checkbox"/> Conflicts of interest, ethics violation |

Please state the name of the individual(s):

Check the relationship of the individual(s) to the USI Foundation:

- Board Member Volunteer Staff Other: _____

Has the fraud been reported to any other person or department? Yes No

If yes, then to whom and when? _____

Provide details concerning the fraud. Attach additional pages if necessary.

How do you wish to be identified? Anonymous Confidential No Restriction

Contact information:

*First Name: _____ *Last Name: _____

*Work Address: _____

*Work Phone: _____ *Mobile Phone: _____ *e-mail: _____

*Not required of those who wish to remain anonymous.

Mail this form to:

Audit Committee Chair
Attn: Mr. Mark E. Neidig
Old National Bank
1 Main St
PO Box 718
Evansville, IN 47705-0718
812/468-1074

FOUNDATION STAFF

The USI Foundation receives staff assistance and guidance from the University's Office of Development. The Foundation's president and chief operating officer also serves as the University's director of Development.

USI Foundation Office	812/464-1918 Fax 812/465-1229
David A. Bower President, USI Foundation Director of University Development	812/465-7039 bower@usi.edu
Jean M. Devine '86 Assistant Director of Development, Operations.....	812/465-7172 jmdevine@usi.edu
Andrea R. Gentry '05 Assistant Director of Development, Major Gifts.....	812/464-1997 agentry@usi.edu
Paula J. Nurrenbern Assistant Director of Development, Major Gifts.....	812/464-1925 pnurrenbe@usi.edu
Virginia L. Bryant Assistant Director of Development, Scholarships and Major Gifts.....	812/465-1658 glbryant@usi.edu
Susan E. Fetscher Grant & Research Coordinator	812/461-5472 sefetscher@usi.edu
Debra S. Laster Assistant Director of Development, Information Systems	812/465-1641 laster@usi.edu
Adam S. Trinkel '09 Annual Giving Officer	812/461-5473 astrinkel@usi.edu
Taylor K. Lutkewitte Publications & Events Coordinator	812/465-7149 tklutkewit@usi.edu
Sherry M. Tynes Information Systems Associate.....	812/461-5438 smtynes@usi.edu
Mary Ann Bernard Senior Administrative Assistant, Gift Processing.....	812/461-5240 mbernard@usi.edu
Gayle L. Shoulders Senior Administrative Assistant	812/461-5317 glshoulder@usi.edu
Nita Musich '10 Senior Administrative Assistant	812/465-7073 jrmusich@usi.edu
Danielle S. Artis Senior Administrative Assistant	812/461-5471 dsartis@usi.edu
Bobbi Russell-Miller '91 Administrative Assistant.....	812/464-1918 brussellmi@usi.edu

The USI Foundation Office is located on Clarke Lane, just west of Schutte Road on the USI campus.

**UNIVERSITY OF SOUTHERN INDIANA
BOARD OF TRUSTEES**

The University of Southern Indiana is governed by a nine-member Board of Trustees appointed by the Governor of the State of Indiana. The Trustees and the 2010/11 officers of the Board are:

John M. Dunn.....	Chair Evansville
Ted C. Ziemer Jr.	Vice Chair Chair, Finance/Audit Committee Evansville
W. Harold Calloway.	Vice Chair Chair, Long-Range Planning Committee Evansville
Jeffrey L. Knight.....	Member Evansville
Amy W. MacDonell.....	Secretary Indianapolis
Steven J. Schenck '72	Member Indianapolis
Lauren K. Raikes '11	Member Speedway
Ronald D. Romain '73	Member Evansville
Ira G. Boots.....	Member Evansville

UNIVERSITY OFFICERS

Linda L. M. Bennett, President.....	464-1756
Ronald S. Rochon, Provost.....	465-1617
Cynthia S. Brinker, Vice President for Government and University Relations.....	464-1774
Mark Rozewski, Vice President for Business Affairs and Treasurer.....	464-1849

